

# THIRD EDITION

# Illness Management and Recovery

Personalized Skills and Strategies for Those with Mental Health Disorders

Implementation Guide

Susan Gingerich, MSW, and Kim T. Mueser, PhD

## Hazelden Publishing Center City, Minnesota 55012 hazelden.org/bookstore

© 2011 by Dartmouth
All rights reserved. Published 2011
First published by SAMHSA in 2006
Revised and published by Hazelden in 2011
Revised and updated for *DSM-5* edition published 2015
Printed in the United States of America

Unless a statement on the page grants permission or permission has been obtained from the publisher, no part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means—electronic, mechanical, photocopying, recording, scanning, or otherwise—without the express written permission of the publisher. Failure to comply with these terms may expose you to legal action and damages for copyright infringement.

The DVDs in the Illness Management and Recovery program appear courtesy of the Substance Abuse and Mental Health Services Administration.

The IMR Fidelity Scale and the General Organizational Index on the CD-ROM appear courtesy of the Substance Abuse and Mental Health Services Administration.

Figure 2 on page 113, from R. Whitley, S. Gingerich, W. J. Lutz, and K. T. Mueser. Π2009. "Implementing the Illness Management and Recovery Program in Community Mental Health Settings: Facilitators and Barriers." *Psychiatric Services* 60, 202–9, appears with permission of the journal.

Table 5 on page 117, copyright 2010 from "Illness Management and Recovery in State Psychiatric Hospitals." *American Journal of Psychiatric Rehabilitation* 13, 105–25, by T. Bartholomew and D. Kensler. Reproduced by permission of Taylor & Francis Group, LLC. www.taylorandfrancis.com.

The Early Signs Questionnaire within the handouts has been adapted from the questionnaire in Schizophrenia:  $Comprehensive\ Treatment\ and\ Management\$ by M. I. Herz and S. R. Marder (Philadelphia: Lippincott Williams & Wilkins, 2002) and appears with permission of the publisher.

20 19 18 17 16 15 1 2 3 4 5 6

Cover design by Terri Kinne Interior design and typesetting (2011) by Madeline Berglund Typesetting (2015) by David Farr, ImageSmythe Copyediting for DSM-5 update (2015) by Jean Cook, ImageSmythe



## Evidence-Based Resources for Behavioral Health

The Dartmouth PRC-Hazelden imprint was formed as a partnership between the Dartmouth Psychiatric Research Center (PRC) and Hazelden Publishing, a division of the Hazelden Betty Ford Foundation—nonprofit leaders in the research and development of evidence-based resources for behavioral health.

Our mission is to create and publish a comprehensive, state-of-the-art line of professional resources—including curricula, books, multimedia tools, and staff-development training materials—to serve professionals treating people with mental health, addiction, and co-occurring disorders at every point along the continuum of care.

For more information about Dartmouth PRC–Hazelden and our collection of professional products, visit the Hazelden Behavioral Health Evolution website at www.bhevolution.org.

### **About Hazelden Publishing**

As part of the Hazelden Betty Ford Foundation, Hazelden Publishing offers both cutting-edge educational resources and inspirational books. Our print and digital works help guide individuals in treatment and recovery, and their loved ones. Professionals who work to prevent and treat addiction also turn to Hazelden Publishing for evidence-based curricula, digital content solutions, and videos for use in schools, treatment programs, correctional programs, and electronic health records systems. We also offer training for implementation of our curricula.

Through published and digital works, Hazelden Publishing extends the reach of healing and hope to individuals, families, and communities affected by addiction and related issues.

For more information about Hazelden publications, please call **800-328-9000** or visit us online at **hazelden.org/bookstore** 

# CONTENTS

How to Use the CD-ROM v
Acknowledgments i
Introduction to the Third Edition
CHAPTER 1: Development of the Illness Management and Recovery Program
CHAPTER 2: The Concept of Recovery
CHAPTER 3: Overview of the IMR Program
CHAPTER 4: IMR Program Materials
CHAPTER 5: Logistics and Participant Selection
CHAPTER 6: Engagement, Orientation, and Teaching the Modules
CHAPTER 7: Goal Setting and Follow-Up 5:
CHAPTER 8: Motivational Teaching Strategies 6:
CHAPTER 9: Educational Teaching Strategies 69
CHAPTER 10: Cognitive-Behavioral Teaching Strategies
CHAPTER 11: Developing Effective Home Practice Assignments 8
CHAPTER 12: Involving Significant Others 95
CHAPTER 13: Organizational Structure 9
CHAPTER 14: Research and Adaptations of the IMR Program
Appendix: CD-ROM Documents List
References

# HOW TO USE THE CD-ROM

Included with this program is a CD-ROM that contains the participant handouts and additional facilitator materials. All the documents on the CD-ROM are in PDF format and can be printed and copied for your personal use.

To open the documents on the CD-ROM, you will need Adobe Reader. If you don't have Adobe Reader, this software can be downloaded for free at www.adobe.com.

For a list of what is contained on the CD-ROM and for further instructions, please see the *Read Me First* document on the CD-ROM. Additional information is also located in the appendix of this guide, and thumbnail views of the first page of each CD-ROM document are shown at the end of the Session Guidelines manual.

## ACKNOWLEDGMENTS

As we publish the third edition of Illness Management and Recovery (IMR), we wish to thank the many people who have been vital to its development and refinement during the past years.

We wish to thank our original steering committee for the development of a resource kit for IMR, which was made possible by a contract from the Substance Abuse and Mental Health Services Administration (SAMHSA) and a grant from the Robert Wood Johnson Foundation (RWJF). The steering committee did an outstanding job of helping us stay on the right track in the development of the program. The individuals on the committee were Bruce Bird, Patricia Carty, Pat Corrigan, Harry Cunningham, Susan Essock, Pam Fisher, Lindy Fox Smith, Kate Hamblen, Marvin Herz, the late David Hilton, James Jordan, the late Samuel Jordan, David Kime, Norman Melendez, Bodie Morey, Thang Pham, Annette Schaub, and Nicholas Tarrier. In addition, we wish to thank the staff members of the National Implementing Evidence-Based Practices Project, which the development of IMR was a part of, including Robert E. Drake (Principal Investigator), Gary Bond, David Lynde, Matt Merrens, and Trish Singer.

We are grateful to the colleagues and administrators who have worked on the IMR program and fostered its dissemination, including Kerry Arnold, Steve Bartels, Tom Bartholomew, Mary Blaney-Rychener, Wesley Bullock, Patricia Carty, Donna and Richard Clancy, Harry Cunningham, Rickard Fardig, Rick Fisher, Lindy Fox Smith, Paul Heyl, Aaron Levitt, David Lynde, Paul Margolies, Piper Meyer, Maria Monroe-DeVita, Gary Morse, Sheila O'Neil, David Penn, Sarah Pratt, David Roe, Anthony Salerno, Michelle Salyers, Meghan Santos, Nicole Swain, Janis Tandora, Anna Marie Toto, and Kelly West.

Our heartfelt thanks are extended to Cynthia Orange, Kitty Creswell, and Sara Perfetti at Hazelden. Their dedication, energy, and creativity were vital in bringing this edition of IMR to fruition.

Finally, we wish to express our deep appreciation and gratitude to the many consumers and families who have participated in IMR, shared their stories, provided feedback, and enabled us to strengthen the recovery message and improve the overall program.

## INTRODUCTION TO THE THIRD EDITION

The Illness Management and Recovery (IMR) program is designed to teach people with severe mental disorders how to more effectively manage their mental health disorders, also referred to as psychiatric disorders, thereby enabling them to make progress toward achieving their personal recovery goals. Developed from a comprehensive review of the research literature, IMR incorporates evidence-based strategies and presents illness self-management information and skills through a structured curriculum. The program materials are taught through a combination of motivational, educational, and cognitive-behavioral methods.

Early work on the IMR program demonstrated that it was feasible to provide IMR in routine settings serving people with severe mental disorders and indicated that the program could be implemented with high fidelity to the model and that effective implementation could be sustained over time. More recent controlled research on IMR has shown that the program improves illness self-management skills as well as symptomatic and functional outcomes.

Published by the Substance Abuse and Mental Health Services Administration (SAMHSA) and made available online in 2003, the original version of IMR included nine modules but no information about implementing the program in a group format. The revised, second edition of IMR was largely completed in 2006 and made available online in 2010.

Since its first release, IMR has been implemented across numerous states and countries with thousands of participants. This third edition of IMR incorporates a wide range of improvements and refinements based on the authors' collective experience and that of many other practitioners of IMR through the years.

The educational curriculum has been thoroughly updated and expanded upon, and the handouts for each of the now eleven modules have been modified to make them more user-friendly and easy to teach from. Improvements incorporated into the third edition include these:

- Optional module called Healthy Lifestyles has been added to the program.
- The handout Practical Facts about Schizoaffective Disorder has been added to module 2.

- New information about medications appears in module 5, Using Medication Effectively.
- New "Check It Out" sections in the handouts help participants apply information they have learned to their own experiences and encourage them to actively try strategies and skills during the sessions by using role plays.
- New Home Practice Sheets in the handouts ask participants to choose an
  activity that will help them put a new strategy or skill into practice and
  identify a step they will take toward their goal before the next session.
- The Session Guidelines have been expanded and include information on teaching each topic area in group sessions.
- Thumbnail views of the first page of each document on the CD-ROM are shown at the end of the Session Guidelines manual.
- All materials have been updated to reflect *DSM-5* language and criteria.

This Implementation Guide provides the information and teaches skills that organizations and practitioners need to implement the program. Practitioners will find tips for putting an organizational structure in place, strategies for engaging participants, and solutions to common problems in goal-setting and goal follow-up among IMR participants. Information on helping participants plan their progress after IMR, guidance on support groups, and recent research findings on the program are also provided.

Finally, in addition to the familiar IMR practitioner forms, many new and updated materials are available on the enclosed CD-ROM. Some of these new materials include

- certificates for completing modules and graduating from the IMR program
- a list of activities that practitioners can use to enliven IMR sessions
- an IMR Goal-Tracking Sheet to record and update participants' progress toward short- and long-term recovery goals
- a Payoff Matrix to help participants explore the pros and cons of changing or not changing a specific illness management-related behavior

Altogether, the third edition of IMR contains multiple improvements that will ease the work of practitioners and speed participants' acquisition of illness-management skills and, most importantly, contribute to their progress toward recovery.